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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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Toshihiro Kadowaki

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EXAMINER

YANG, QIAN

ART UNIT

PAPER NUMBER

2625

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/552,068	KADOWAKI, TOSHIHIRO	
	<b>Examiner</b>	<b>Art Unit</b>	
	QIAN YANG	2625	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 16 November 2009.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-20 and 22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 and 22 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)         | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on November 16, 2009 has been entered.

### ***Response to Amendment***

2. Applicant's amendment filed on May 26, 2009 has been entered. Claims 1 – 5, 8 – 11, 13 – 16, 18 – 20 and 22 have been amended. Claim 21 has been canceled. No claims have been added. Claims 1 – 20 and 22 are still pending in this application, with claims 1, 20 and 22 being independent.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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4. Claims 1, 3 – 8, 10, 17 – 20 and 22 are rejected under 35 U.S.C. 102(b) as being anticipated by Sowinski et al. (US Patent Application Publication 2001/0053247), hereinafter referred as Sowinski.

Regarding claim 1, Sowinski discloses an image reading apparatus (a system of offering photofinishing service) arranged to connect, via a network, to a plurality of external services, each of which provides a service for processing image data generated in the image reading apparatus, the image reading apparatus comprising:

- an image reading unit (Fig. 5, #502) configured to read an image on an original document and generate digital image data corresponding to the read image (paragraph 0058);
- an identification unit configured to identify an operator of the image reading apparatus (Fig. 9, paragraph 0125);
- an acquiring unit configured to acquire personalized service information which is associated with the identified operator and includes setting information (Fig. 3 and 4, paragraph 0125 – 0126) for each of the plurality of external services (Fig. 6, paragraph 0061, for wholesale service #604 or retail service #607);
- a personalizing unit configured to personalize a setting screen, a setting procedure, and contents of settings according to the acquired personalized service information (Fig. 10 – 11, paragraph 0127 – 0130); and
- a transmitting unit (Fig. 6, paragraph 0061) configured to transmit various settings which have been set based on the setting screen, setting procedure, and contents of the settings personalized by said personalizing unit (Fig. 4, paragraph 0054, also paragraph

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0124 – 0129), together with the generated digital image data via the network to one of the plurality of external services (Fig. 6, paragraph 0061, for wholesale service #604 or retail service #607) which is selected by the identified operator (paragraph 0065 – 0066).

Regarding claim 3 (depends on claim 1), Sowinski discloses the apparatus further comprising:

a wireless communication unit (Fig. 6, #603, cellular phone described in paragraph 0061) configured to wirelessly communicate with a storage medium capable of carrying out wireless communication (paragraph 0062), the storage medium storing operator identification information (paragraph 0125) and the personalized service information corresponding to the operator (paragraph 0129 – 0130), wherein said identification unit identifies the operator according to the operator identification information stored in the storage medium (paragraph 0125).

Regarding claim 4 (depends on claim 1), Sowinski discloses the apparatus wherein an external server (Fig. 6, #602) holding the personalized service information associated with respective operator identification information (paragraph 0125) is connected to the network (Fig. 6, #601), and said acquiring unit (paragraph 0124, home computer) transmits operator identification information of the operator identified by the identification unit to the external server via the network (paragraph 0125 and 0129, after operator identified by the identification unit, the operator identification information (name

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and address, etc. of the customer's order characteristics) is passed to an order fulfillment service/function station (for wholesale service #604 or retail service #607). These stations are considered as the external servers via the network), and acquires the personalized service information corresponding to the transmitted operator identification information from the external server (paragraph 0125).

Regarding claim 5 (depends on claim 1), Sowinski discloses the apparatus wherein an external server (Fig. 6, #602) holding personalized service information associated with respective operator identification information and respective apparatus identification information (paragraph 0125) is connected to the network (Fig. 6, #601), and said acquiring unit transmits operator identification information of the operator identified by the identification unit (paragraph 0125 and 0129, after operator identified by the identification unit, the operator identification information (name and address, etc. of the customer's order characteristics) is passed to an order fulfillment service/function station (for wholesale service #604 or retail service #607). These stations are considered as the external servers via the network) and apparatus identification information of the image reading apparatus to the external server via the network (paragraph 0061, "The retail computer workstation can communicate with customers directly if desired through a personal computer 606, a kiosk 605, or through a mobile computer or computing device 603, such as a laptop computer, a personal digital assistant, or a cellular phone". It means the customers' image reading apparatus transmits the apparatus identification information to the retail computer workstation (the

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external server)), and acquires the personalized service information corresponding to the transmitted operator identification information and the apparatus identification information from the external server (paragraph 0124 – 0125).

Regarding claim 6 (depends on claim 4), Sowinski discloses the apparatus wherein the plurality of external services exist on the Internet (paragraph 0119), and the external server exists on an intranet (paragraph 0062).

Regarding claim 7 (depends on claim 1), Sowinski discloses the apparatus wherein the personalized service information includes identification information on services to be used by the operator, and defaults of various settings for the services to be used (Fig. 9 – 10).

Regarding claim 8 (depends on claim 1), Sowinski discloses the apparatus wherein the personalized service information includes identification information on the external services (Fig. 6, wholesale or retail services) to be used by the identified operator, and setting values which can be set by the identified operator for the services to be used (Fig. 3 – 4B).

Regarding claim 10 (depends on claim 1), Sowinski discloses the apparatus wherein the personalized service information includes identification information on the external services (Fig. 6, wholesale or retail services) to be used by the identified operator, and

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setting values which can be used by the identified operator for the services to be used (Fig. 3 – 4B).

Regarding claim 17 (depends on claim 1), Sowinski discloses the apparatus wherein the personalized service information includes part of authentication data to be used for user authentication when the operator uses the service (Fig. 3 and Fig. 9).

Regarding claim 18 (depends on claim 1), Sowinski discloses the apparatus wherein said acquiring unit acquires plural pieces of personalized service information, and said personalizing unit comprises selecting means for selecting a desired service from among services personalized for the identified operator according to the acquired plural pieces of personalized service information (Fig. 10).

Regarding claim 19 (depends on claim 18), Sowinski discloses the apparatus further comprising an adding unit configured to add various settings as to the service personalized for the identified operator according to the acquired personalized service information as new personalized service information to the acquired personalized service information (Fig. 10, paragraph 0126 – 0128).

Regarding claim 20, Sowinski discloses a personalizing method for an image reading apparatus (a method of offering photofinishing service) arranged to connect, via a network, to a plurality of external services, each of which provides a service for

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processing image data generated in the image reading apparatus, the personalizing method comprising:

an image reading step (Fig. 5, #502) of reading an image on an original document and generating digital image data corresponding to the read image (paragraph 0058);

an identification step of identifying an operator of the image reading apparatus (Fig. 9, paragraph 0125);

an acquiring step of acquiring personalized service information which is associated with the identified operator and includes setting information (Fig. 3 and 4, paragraph 0125 – 0126) for each of the plurality of external services (Fig. 6, paragraph 0061, for wholesale service #604 or retail service #607);

a personalizing step of personalizing a setting screen, a setting procedure, and contents of settings according to the acquired personalized service information (Fig. 10 – 11, paragraph 0127 – 0130); and

a transmitting step (Fig. 6, paragraph 0061) of transmitting various settings which have been set based on the setting screen, setting procedure, and contents of the settings personalized by said personalizing unit (Fig. 4, paragraph 0054, also paragraph 0124 – 0129), together with the generated digital image data via the network to one of the plurality of external services (Fig. 6, paragraph 0061, for wholesale service #604 or retail service #607) which is selected by the identified operator (paragraph 0065 – 0066).

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Regarding claim 22 it is inherent variations of claim 1, thus it is interpreted and rejected for the reasons set forth above in the rejection of claim 1.

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Sowinski in view of Tanaka et al. (US Patent Application Publication 2001/0016820), hereinafter referred as Tanaka.

Regarding claim 2 (depends on claim 1), Sowinski fails to explicitly disclose the apparatus further comprising:

a reading unit configured to read operator identification information and the personalized service information corresponding to the operator from a detachable storage medium connected with the reading unit, wherein said identification unit identifies the operator according to the operator identification information read from the storage medium.

However, in a similar field of endeavor Tanaka discloses an image recording apparatus.

In addition, Tanaka discloses a reading unit configured to read operator identification

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information and the personalized service information corresponding to the operator from a detachable storage medium connected with the reading unit,

wherein said identification unit identifies the operator according to the operator identification information read from the storage medium (paragraph 0137 - 0140).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the invention of Sowinski, and read operator identification information and the personalized service information corresponding to the operator from a detachable storage medium connected with the reading unit, as taught by Tanaka.

The motivation for doing this is to provide additional way to identify the operator.

7. Claims 9 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sowinski in view of Horikoshi et al. (US patent 6,823,459), hereinafter referred as Horikoshi.

Regarding claim 9 (depends on claim 1), Sowinski discloses the apparatus wherein the personalized service information includes identification information on the external services to be used by the identified operator (Fig. 6, paragraph 0061, for wholesale service #604 or retail service #607), and setting values (Fig. 3 – 4B).

However, Sowinski fails to explicitly disclose wherein the setting values inhibited from being used for the services to be used.

However, in a similar field of endeavor Horikoshi discloses a method for prohibiting unauthorized access in a non-contacting data carrier system. In addition, Horikoshi

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discloses that the setting values inhibited from being used for the services to be used (described in column 2, line 1-14)

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the invention of Sowinski, and inhibit the setting values from being used for the services to be used, as taught by Horikoshi. The motivation for doing this is that prohibiting unauthorized user to access the sensitive data, as discussed by Horikoshi (col. 1, lines 17 – 25).

Regarding claim 11 (depends on claim 1), Sowinski discloses the apparatus wherein the personalized service information includes identification information to be used by the identified operator, and setting items (Fig. 3 – 4B).

However, Kadowaki fails to disclose wherein the setting items inhibited from being used for the services to be used.

However, in a similar field of endeavor Horikoshi discloses a method for prohibiting unauthorized access in a non-contacting data carrier system. In addition, Horikoshi discloses that the setting items inhibited from being used for the services to be used (described in column 2, line 1-14)

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the invention of Sowinski, and inhibit the setting items from being used for the services to be used, as taught by Horikoshi. The motivation for doing this is that prohibiting unauthorized user to access the sensitive items, as discussed by Horikoshi (col. 1, lines 17 – 25).

8. Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Sowinski in view of Kadowaki (US patent application publication 2002/0126322).

Regarding claim 12 (depends on claim 1), Sowinski fails to explicitly disclose the apparatus wherein the external service is inhibited from being used when said personalizing unit omits personalization of the service to be used.

However, in a similar field of endeavor Kadowaki discloses an image processing system. In addition, Kadowaki discloses that the external service is inhibited from being used when said personalizing unit omits personalization of the service to be used (paragraph 0175, S57).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the invention of Sowinski, and inhibit the external service from being used when said personalizing unit omits personalization of the service to be used, as taught by Kadowaki. The motivation for doing this is to provide the service needs to be authenticated before user uses the service to prevent unauthorized usage.

9. Claims 13 – 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sowinski in view of Shinichi (Japan patent publication 2002-312429).

Regarding claim 13 (depends on claim 1), Sowinski discloses the apparatus wherein the external service provides a print for the print service (paragraph 0061, “printing

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services”), and transmits generated digital image data to the external service (Fig. 5 and 6).

However, Sowinski fails to explicitly disclose wherein the external service provides a book-binding service for the transmitted image data, and said transmitting unit transmits, as the various settings for the external service, settings relating to book-binding format of the book-binding service.

However, in a similar field of endeavor Shinichi discloses an information management service system. In addition, Shinichi discloses that the external service provide a book-binding format for the book-binding service (described in paragraph 0009).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the invention of Sowinski, and provide a book-binding service after printing, as taught by Shinichi. The motivation for doing this is to provide the service unavailable by a conventional method for user through a public line, as discussed by Shinichi (abstract).

Regarding claim 14 (depends on claim 1), Sowinski discloses the apparatus wherein the external service comprises a print service for the transmitted image data, and said transmitting unit transmits, as the various settings for the external service, settings relating to an address for delivery of a printout obtained by the print service (paragraph 0061, “printing services”. Fig. 3, “shipping address”), and transmits generated digital image data to the external service (Fig. 5 and 6).

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However, Sowinski fails to explicitly disclose wherein the external service provides a book-binding service for the transmitted image data, and said transmitting unit transmits, as the various settings for the external service, settings relating to an address for delivery of a book-binding service.

However, in a similar field of endeavor Shinichi discloses an information management service system. In addition, Shinichi discloses that the external service provide a book-binding service for the transmitted generated digital image data, and said transmitting unit transmits, as the various settings for the external service, settings relating to an address for delivery of a book-binding service (described in paragraph 0009, also described in paragraph 0066-0067).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the invention of Sowinski, and provide a book-binding and delivery service after printing, as taught by Shinichi. The motivation for doing this is to provide the service unavailable by a conventional method for user through a public line, as discussed by Shinichi (abstract).

Regarding claim 15 (depends on claim 14), Sowinski discloses the apparatus wherein the personalized service information includes information indicative of an address of the identified operator as the address for delivery (Fig. 3, "shipping address").

Regarding claim 16 (depends on claim 14), Sowinski discloses the apparatus wherein the personalized service information includes information indicative of the identified

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operator as a person to be charged for the service (Fig. 3, "billing address" & credit card information).

### ***Response to Arguments***

10. Applicant's arguments with respect to claims 1 – 20 and 22 have been considered.

Regarding claim 1 (similar as claims 20 and 22), the applicant alleges that "The applied art, alone or in any permissible combination, is not seen to disclose or to suggest the features of Claims 1, 20 and 22, and in particular, is not seen to disclose or to suggest at least the features of an image reading apparatus i) identifying an operator of the image reading apparatus, ii) acquiring personalized service information which is associated with the identified operator and includes setting information for each of the plurality of external services, iii) personalizing a setting screen, a setting procedure, and contents of settings according to the acquired personalized service information, and iv) transmitting various settings which have been set based on the setting screen, setting procedure, and contents of the settings personalized by said personalizing unit, together with the generated digital image data via the network to one of the plurality of external services which is selected by the identified operator."

The examiner respectfully disagrees. Sowinski disclosed an image reading apparatus i) identifying an operator of the image reading apparatus (Fig. 9, paragraph 0125, the customer need to login by ID/password to identify himself/herself),

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ii) acquiring personalized service information which is associated with the identified operator and includes setting information (customer profile, paragraph 0125 – 0128, Fig. 3, 4 and 10) for each of the plurality of external services (Fig. 6, paragraph 0061, for wholesale service #604 or retail service #607),

iii) personalizing a setting screen, a setting procedure, and contents of settings according to the acquired personalized service information (Fig. 3, 4 and 10), and

iv) transmitting various settings which have been set based on the setting screen, setting procedure, and contents of the settings personalized by said personalizing unit (Fig. 4, paragraph 0054, also paragraph 0124 – 0129), together with the generated digital image data via the network to one of the plurality of external services (Fig. 6, paragraph 0061, for wholesale service #604 or retail service #607) which is selected by the identified operator (paragraph 0065 – 0066).

The applicant further alleges that “Sowinski is seen to disclose that film is scanned to generate an image, various looks are applied to the image and provided to the customer so that the customer can then select a preferred look that is then applied to the image to produce a processed image. Sowinski is merely seen to teach that a customer is identified based on a customer ID and password and that pre-built preference profiles are provided to the customer. However, Sowinski is not seen to teach that, once the personalized setting information is acquired based on the identified operator, that setting screen, setting procedure, and contents are personalized and set and then

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transmitted to the external service, together with generated image data. Thus, Claims 1, 20 and 22 are not believed to be anticipated by Sowinski.”

The examiner respectfully disagrees. Sowinski disclosed once the personalized setting information is acquired based on the identified operator, that setting screen, setting procedure, and contents are personalized and set. “These selections (settings) are then transmitted to the image processing equipment with the customer's order number” (paragraph 0054). “After the profile is built, product preparation directions which follow the customer's order characteristics and the customer's average look/preference can be provided along with payment and delivery directions to the server, and these directions can be passed to an order fulfillment service/function station” (paragraph 0129). The servers and service/function stations are exhibited in Fig. 6 (paragraph 0061) as wholesale or retail external services. The generated image data (Fig. 5, paragraph 0060) is also transmitted to the external service (extend Fig. 5 to Fig. 6 from paragraph 0061).

Therefore, Sowinski disclosed claimed subject matter of claim 1 (similar as claims 20 and 22).

### ***Conclusion***

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to QIAN YANG whose telephone number is (571)270-7239. The examiner can normally be reached on Monday-Friday 8:00-16:00.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Benny Tieu can be reached on 5712727490. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/QIAN YANG/  
Examiner, Art Unit 2625

/Benny Q Tieu/  
Supervisory Patent Examiner, Art Unit 2625